	DECLARA	TION	FO	R UTILITY OR	Attorney Docket Number	23540-09582 (2004-167-2)
		DES		•	First Named Inventor	Bing Guo
				ICATION .63)	COMPLE	TE IF KNOWN
	Declaration Submitted	tion ⊠ Dor		Declaration	Application Number	10/576,776
_		nitted OR Submitted a	Submitted after Initial	Filing Date	August 20, 2006	
	Filing			Filing (surcharge (37 CFR 1.16 (e))	Art Unit	Unknown
				required)	Examiner Name	Unknown

I hereby declare tha	t:						
Each inventor's resid	ence, mailing ad	dress, and citizenship	are as stal	ted below n	ext to thei	ir name.	
I believe the inventor for which a patent is	(s) named below sought on the inv	to be the original and rention entitled:	first invent	tor(s) of the	subject m	natter which is	s claimed and
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Me	thods for P	reparing and Fu	unction	alizing N	lanopa	rticles	ļ
L		(Title of the	Annlinetie - 1				
the specification of wi	hich	(vide or the s	чррпсацогі)	,			
☐ is attached heret	_						
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OR							
was filed on (MM/I	DD/YYYY) 08/2	20/2006	as United S	States Applic	cation Num	nber or PCT in	nternational
Application Number	10/576,776	and was amended	on (MM/D	D/YYYY)			if applicable).
I hereby state that I have amended by any amen	ve reviewed and u	mderstand the contents referred to above.	of the abor	ve identified	specificati	ion, Including	the claims, as
continuation⊣n-part app and the national or PC1	olications, materia I International filin	nation which is material I information which bec g date of the continuati	ame availal on-in-oart a	ble between	the filing o	date of the pri	or application
l hereby claim foreign p Inventor's or plant bree	riority benefits un der's rights certific	der 35 U.S.C. 119(a)-(c ate(s), or 365(a) of any	f) or (f), or 3	365(b) of an	lication wh	ich declarate	d at laged and
country other than the (Jnited States of A	merica, listed helow an	d have also	Identified h	plant by a	backing the b	av anu
filing date before that of	f the application o	or plant breeder's rights in which priority is claim	ceruncate(: ed.	s), or any Po	C1 Internat	tional applicat	ion having a
Prior Foreign Application Numbers	s) Country	Foreign Filing (MM/DD/YYY		Prior Not Cla			py Attached?
PCT/US2004/035295	wo	10/22/2004	''	NOT CR		Yes	No.
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☐ Additional foreign appropriate in the property of the p	pplication number	s are listed on a supple	mental prio	nty data she	et PTO/SI	B/02B attache	d hereto.

DECLARA	TION – Utility	or I	Desig	ın Pat	ent A	эp	lication
Direct all correspondence to:	Customer Number:		758	8	OR 🗆 C	Corre	espondence address below
Name					-	_	
Address						_	
City	State	_		· ·	ZIP	.—	
Country Tel	ephone		F	ах	—		
I hereby declare that all statements and belief are believed to be trustatements and the like so made at false statements may jeopardize the	e; and further that the e punishable by fine o	ese sta r impris	stements	were m	ade with the	ho l	considering that willful false
NAME OF SOLE OR FIRST INVI	ENTOR:		A petitio			his	unsigned inventor
Given Name (first and middle [if any])				Family or Sum			
Bing				Guo			
Inventor's Signature	8-6						Date 11/7/2006
Residence: City Wasdiama College Station	State CA T X		Count	ry		1 -	itizenship
Malling Address	EA 1 A		USA			C	hina
-776 Laugenour Brive 8 (00 Fore C	·+					
City College Station	State TX		ZIP 95776	778	45	1 -	ountry SA
NAME OF SECOND INVENTOR:		0	A petition	has bee	n filed for t	his (Insigned Inventor
Given Name (first and middle [if any])				Family or Sum			
lan M.				Kennec	iy		
inventor's Signature							Date
Residence: City	State		Countr	ν		C	tizenship
Davis	CA		USA			U	SA
Mailing Address							
2754 Eel Place							
Clty	State		ZIP			_	ountry
Davis	CA		95616			U	SA

Additional inventors or a legal representative are being named on additional sheet(s)

p.3

DECLA	RATION		Suppleme	ADDITIONAL INVI	ENT	OR(S)
NAME OF THIRD INVENTOR:			A petitio	n has been filed for t	this	unsigned inventor
Given Name (first and middle [if any])				Family Name or Sumame		
Dosi				Dosev		
Inventor's Signature						Date
Residence: City	State		Count	iry]	itizenship
Davis	CA		USA		E	Bulgaria
Mailing Address						
1805 Anderson Road, #53						
City	State		ZIP		C	Country
Davis	CA		95616	<u> </u>	U	ISA
NAME OF FOURTH INVENTOR:			A petition	has been filed for t	his	unsigned inventor
Given Name (first and middle [if any])			Family Name or Surname			
Darina			Melnikov			
Inventor's Signature		-		·		Date
Residence: City	State		Count	TY	c	itizenship
Carmichael	CA		USA		ų	SA
Mailing Address					_	
5929 Shirley Avenue						
City	State		ZIP		Ç	ountry
Carmichael	CA		95608		U	SA
NAME OF FIFTH INVENTOR:			A petition	has been filed for th	nis u	insigned inventor
Given Name (first and middle [if any])				Family Name or Surname		
Mikaela				Nichkova		
Inventor's Signature			1		٦	Date
Residence: City	State		Countr	Y	Ci	tizenshlp
Davis	CA		USA		_	ulgaria
Mailing Address					_	
1050 Lake Blvd, #32						
City	<u>State</u>		ZIP		Co	ountry
Davis	CA		95616		US	SA
Additional inventors or a legal represe	ntativo are being named	on add	ilional she	et(s).	_	

PATENT APPLICATION COMPLETE IF KNOWN (37 CFR 1.63) Application Number 10/576.776 Declaration □ D August 20, 2006 Submitted after Initial Filing Date Submitted OR With Initial Filing (surcharge Art Unit Unknown (37 CFR 1.16 (e)) Filina required) Unknown **Examiner Name** I hereby declare that: Each inventor's residence, mailing address, and citizenship are as stated below next to their name. I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Methods for Preparing and Functionalizing Nanoparticles (Title of the Application) the specification of which ☐ is attached hereto OR as United States Application Number or PCT International was filed on (MM/DD/YYYY) 08/20/2006 (if applicable). and was amended on (MM/DD/YYYY) Application Number 10/576,776 I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filling date of the prior application and the national or PCT international filing date of the continuation-in-part application. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed. Certified Copy Attached? Foreign Filing Date Priority Prior Foreign Not Claimed Yes No Application Number(s) (MM/DD/YYYY) Country wΩ 10/22/2004 PCT/US2004/035295 П

Attorney Docket Number

First Named Inventor

DECLARATION FOR UTILITY OR

DESIGN

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

23540-09582 (2004-167-2)

Bina Guo

DECLARATION – Utility or Design Patent Application

Direct all correspondence to:		mber:		758		OR [Com	espond	ence address below	
Name										
Address										
City		State					ZIP			
Country	Telephone			Fa	ax					
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.										
NAME OF SOLE OR FIRST	INVENTOR:			A petition				s unsigr	ned inventor	
Given Name (first and middle [if any])					Family or Sur		<u>e</u>			
Date Signature						е				
Residence: City	State			Count	ry		$\neg \tau$	Citizen	ship	
Woodland	CA			USA				China		
Mailing Address										
776 Laugenour Drive										
City	State		ZIP				Country			
Woodland	CA			95776	·			USA		
NAME OF SECOND INVENT	ror:			A petition	n has be	en file	d for thi	s unsig	ned inventor	
Given Name (first and middle [if any])					or Su	y Nam rname				
lan M.					Kenn	eay		Dat		
Inventor's Signature 4.	Ke	ed						10	17/06	
Residence: City	State			Count	ry			Citizer	ship	
Davis	CA	_		USA]	USA		
Mailing Address										
2754 Eel Place										
City	State			ZIP			- 1	Count	ĽΥ	
Davis	CA			95616	3		1	USA		
Additional inventors or a legal	representative are b	eing named	on ad	ditional st	heet(s)					

DECLAR	S	Supplemental Sheet					
NAME OF THIRD INVENTOR:			A petition	has been filed for th	is t	unsigned inventor	
Given Name (first and middle [if any])				Family Name or Surname Dosey			
Dosi					_	Date	
Inventor's Signature						Date	
Residence: City	<u>State</u>		Count	ry	c	itizenship	
Davis	CA		USA		В	ulgaria	
Mailing Address							
1805 Anderson Road, #53					_		
City	State		ZIP		c	ountry	
Davis	CA		95616		υ	SA	
NAME OF FOURTH INVENTOR:			A petition	has been filed for th	ıls ı	unsigned inventor	
Given Name (first and middle [if any])				Family Name or Surname			
Darina				Melnikov			
Inventor's Signature						Date	
Residence: City	State		Count	Ŋ	C	itizenship	
Carmichael	CA		USA		U	ISA	
Mailing Address							
5929 Shirley Avenue							
City	State		ZIP		2	Country	
Carmichael	CA		95608		U	ISA	
NAME OF FIFTH INVENTOR:			A petition	has been filed for th	nis	unsigned inventor	
Given Name (first and middle [if any])				Family Name or Surname			
Mikaela				Nichkova			
Inventor's		_		1101110111	_	Date	
Sign a ture							
Residence: City	State		Count	ΤΥ	2	Citizenship	
Davis	CA		USA		E	Bulgaria	
Mailing Address							
1050 Lake Blvd, #32							
City	<u>State</u>		ZIP		Ι-	Country	
Davis	CA		95616	S	U	JSA	
Additional inventors or a legal repres	entative are being named	on ad	ditional st	neet(s).			

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

Declaration
Submitted
With Initial
Filing

OR Declaration
Submitted after Initlal
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number	23540-09582 (2004-167-2)
First Named Inventor	Bing Guo
COMPLE	TE IF KNOWN
Application Number	10/576,776
Filing Date	August 20, 2006
Art Unit	Unknown
Examiner Name	Unknown

I hereby declare that:				
Each inventor's residence	, mailing addr	ess, and citizenship are as state	ed below next to their	name.
I believe the inventor(s) n for which a patent is soug	amed below to ht on the inve	o be the original and first invento ntion entitled:	or(s) of the subject ma	atter which is claimed and
Metho	ods for Pr	eparing and Functiona	ilizing Nanopar	ticles
the specification of which		(True of the Application)		
is attached hereto				
OR				
was filed on (MM/DD/	YYYY) 08/2	0/2006 as United S	tates Application Num	ber or PCT International
Application Number 10/	576,776	and was amended on (MM/DI	D/YYYY)	(if applicable).
I hereby state that I have re amended by any amendment	eviewed and unent specifically	nderstand the contents of the abovereferred to above.	ve identified specificati	on, including the claims, as
continuation-in-part applica	itions, material	ation which is material to patentat information which became availal g date of the continuation-in-part a	ble between the filing (CFR 1.56, including for date of the prior application
I hereby claim foreign prior inventor's or plant breeder' country other than the Unit foreign application for pate	ity benefits und s rights certific ed States of A nt. inventor's o	der 35 U.S.C. 119(a)-(d) or (f), or 3 ate(s), or 365(a) of any PCT intem merica, listed below and have also r plant breeder's rights certificate(365(b) of any foreign a national application wh o identified below, by c	hecking the box, any
filing date before that of the	e application of	Foreign Filing Date	Priority	Certified Copy Attached?
Application Number(s)	Country	(MM/DD/YYYY)	Not Claimed	Yes No
PCT/US2004/035295	wo	10/22/2004		
Additional foreign appli	cation number	s are listed on a supplemental pric	ority data sheet PTO/S	B/02B attached hereto.

DECLARATION – Utility or Design Patent Application

Direct all correspondence to:	⊠ c	Customer Num	ber:		7!	58		OR [] Co	rres	spondence address below
Name											
Address											
City			State						ZIP		
Country		phone				Fa					
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.											
NAME OF SOLE OR FIRST	INVE	NTOR:			A petit	lion				is u	insigned inventor
Given Name (first and middle [if any])						1	Family or Sun		<u>e</u>		
Bing							Guo				
Inventor's Signature				_					Date		
Residence: City State					Cou	ıntr	Υ			C	<u>tizenship</u>
Woodland		CA		USA			China				
Mailing Address											
776 Laugenour Drive											
City		State			ZIP				Country		
Woodland		CA			957	76				USA	
NAME OF SECOND INVENT	OR:				A petif	tion	has be	en file	d for th	is u	insigned inventor
Given Name (first and middle [if any])							Family or Sun		<u>e</u>		
lan M.							Kenne	dy			
Inventor's Signature											Date
Residence: City		State			Cou	ıntr	Υ			C	tizenship
Davis		CA			USA	4				U	SA
Mailing Address											
2754 Eel Place											
City		State			ZIP					_	ountry
Davis		CA			956	16				U	SA
Additional inventors or a legal	repres	entative are bein	g named	on add	litional	sh	eet(s)				

DECLARATION	ADDITIONAL INVENTOR(S)
DECEMINATION	Supplemental Sheet

NAME OF THIRD INVENTOR:					unsigned inventor		
Given Name				Family Name			
(first and middle [if any])				or Surname			
Dosi				Dosev		Fa.:	
Inventor's Signature	reV					Date 11/20/2006	
Residence: City	State		Count	ry	c	<u>itizenship</u>	
Davis	CA		USA		В	ulgaria	
Mailing Address							
1650 Colusa Avenue							
City	State		ZIP		_	ountry	
Davis	CA		95616		U	SA	
NAME OF FOURTH INVENTOR:			A petition	has been filed for th	is I	unsigned inventor	
Given Name (first and middle [if any])				Family Name or Surname			
Darina				Melnikov	•		
Inventor's					-	Date	
Signature							
					_	itinanahin	
Residence: City	State	Coun		IX		itizenship	
Carmichael	CA		USA			SA	
Mailing Address							
5929 Shirley Avenue					_		
City	State		ZIP			Country	
Carmichael	CA		95608		USA		
NAME OF FIFTH INVENTOR:		0/	A petitio	n has been filed for th	ils I	unsigned inventor	
Given Name				Family Name or Surname	_		
(first and middle [if any])							
Mikaela				Nichkova		I B-ti-	
Inventor's Signature Microscope	sel_					11/20/2006	
Residence: City	State		Count	ry	C	itizenship	
Davis	CA		USA		В	ulgaria	
Mailing Address							
1650 Colusa Avenue					_		
City	State		ZIP		_	Country	
Davis	CA		95616	i	U	SA	
Additional inventors or a legal repres	entative are being named	on add	ditional st	neet(s).	_		

PATENT APPLICATION COMPLETE IF KNOWN (37 CFR 1.63) 10/576 776 Application Number Declaration □ D Filing Date August 20, 2006 Submitted after Initial Submitted OR Filing (surcharge With Initial Art Unit Unknown (37 CFR 1.16 (e)) Filina required) Unknown Examiner Name I hereby declare that: Each inventor's residence, mailing address, and citizenship are as stated below next to their name. I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Methods for Preparing and Functionalizing Nanoparticles (Title of the Application) the specification of which is attached hereto OR as United States Application Number or PCT International was filed on (MM/DD/YYYY) 08/20/2006 (if applicable). Application Number 10/576,776 and was amended on (MM/DD/YYYY) I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filling date of the prior application and the national or PCT international filing date of the continuation-in-part application. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed. Certified Copy Attached? Foreign Filing Date Priority Prior Foreign Not Claimed Yes No (MM/DD/YYYY) Application Number(s) Country 10/22/2004 PCT/US2004/035295 wo П П П П п

Attorney Docket Number

First Named Inventor

DECLARATION FOR UTILITY OR DESIGN

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

23540-09582 (2004-167-2)

Bing Guo

DECLARATION – Utility or Design Patent Application

Direct all correspondence to:		nber:		75	8		OR [Corr	espondence address be	low
Name										
Address										
City		State						ZIP		
Country	Telephone			Ť	Fax					-
I hereby declare that all statem and belief are believed to be statements and the like so man false statements may jeopardia	true; and further f de are punishable by	that thes y fine or i	e stat imprise	emen onmer	ts w nt, or	ere m both,	ade v under	vith the 18 U.S	knowledge that willful	alse
NAME OF SOLE OR FIRST	INVENTOR:			N petiti	ion h	as be	en file	d for this	unsigned inventor	
Given Name (first and middle [if any])					amily r Sur	Nam name	<u>e</u>			
Bing					iuo .					
Inventor's Signature									Date	
Residence: City State				Cou	ntry			1	Citizenship	
Woodland	CA		USA				China			
Mailing Address										
776 Laugenour Drive										
City	State			ZIP			-	Country		
Woodland	CA			957	76				USA	
NAME OF SECOND INVEN	ror:			A petit	ion h	as be	en file	d for this	unsigned inventor	
Given Name (first and middle [if any])					1	r Sur	Nam name			
lan M.						Cenne	dy			
Inventor's Signature									Date	
Residence: City	State			Cou	ntry				Citizenship	
Davis	CA			USA	4				USA	
Mailing Address										
2754 Eel Place										
City	State			ZIP				Country		
Davis	CA			956	16				USA	
Additional inventors or a legal	representative are bei	ng named	on add	fitional	shee	t(s)				

DECLARATION			ADDITIONAL INVENTOR(5) Supplemental Sheet				
NAME OF THIRD INVENTOR:			Apetitio	on has been filed for	this	unsigned inventor	
Given Name (first and middle (if any))			Family Name or Surname				
Dosi		_		Dosey			
Inventor's Signature						Date	
Residence: City	State	State Coun		ry Citizenship		Oltizenship	
Davis	CA	CA I		USA		Bulgaria	
Mailing Address							
1650 Colusa Avenue							
City	State		ZIP	ZIP		Country	
Davis	CA	CA 96		95616		JSA	
NAME OF FOURTH INVENTOR: A petition has been filed for this unsigned inventor							
Given Name (first and middle (if any))			Family Name or Sumame		_		
Darina / 21			Melnikov				
Inventor's Signature				·		1/11/2007	
Residence: City	Starie	Starte Cou		try		itizenship	
Carmichael	CA	CA		USA		USA	
Mailing Address							
5929 Shirley Avenue							
City	State ZIP		ZIP	ZIP		Country	
Carmichael	CA	CA S		95608		USA	
NAME OF FIFTH INVENTOR: A petition has been filed for this unsigned inventor							
Given Name (first and middle [if any])			Family Name or Sumarne				
Mikaela			Nichkova				
Inventor's Signature				*		Date	
Residence: City	State		Countr	v	٦	tizenship	
Davis	GA			USA		ulgaria	
Mailing Address							
1650 Colusa Avenue							
City	State	State ZIP		1.0		ountry	
Davis	CA	95616		USA			
Additional inventors or a legal repre	sentative are being named	on adi	ditional she	et(s).			

Page 3 of 3

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